

## Decisions taken by the **Governance & Audit Committee** Tuesday, 9 November 2021

| Agenda Item No | Topic  | Decision   |
|----------------|--|--|
|                | <b>Attendance:</b> <a href="https://bit.ly/36QcrHA">https://bit.ly/36QcrHA</a>   |  |
| 1              | Disclosures of Personal and Prejudicial Interests.   | <p>In accordance with the Code of Conduct adopted by the City and County of Swansea, the following interests were declared:</p> <ol style="list-style-type: none"> <li>1) Councillors P M Black &amp; T M White declared a Personal Interest in Minute 47 “Internal Audit Recommendation Follow-Up Report – Quarter 2 2021/22”.</li> <li>2) Julie Davies declared a Personal Interest in Minute 48 “Fundamental Audits 2020/21 Recommendation Tracker”.</li> </ol> |
| 2              | Minutes.   | Approved.  |
| 3              | Internal Audit Annual Plan 2021/22 – Monitoring Report for the Period 1 July 2021 to 30 September 2021. (For Information) (Simon Cockings) | For information.   |
| 4              | Response to the Community Equipment Stores & Community Alarms Service Audit Report 2021/22.  | For information.   |
| 5              | Internal Audit Recommendation Follow-Up Report - Quarter 2 2021/22. (For Information) (Simon Cockings)                                     | For information.   |
| 6              | Fundamental Audits 2020/21 Recommendation Tracker. (For Information) (Simon Cockings)  | For information.   |
| 7              | Internal Audit Section - Corporate Fraud Function Mid-Year Update Report for 2021/2022. (Jeff Fish / Jonathon Rogers)                      | For information.   |
| 8              | Corporate Risk Overview 2021/22 – Quarter 2. (For  | Noted.   |

|                  |  |                         |
|------------------|--|-------------------------|
| <p><b>9</b></p>  | <p>Information) (Richard Rowlands)<br/>(Directorate): Internal Control Environment 2021/22.<br/>(For Information) (Dave Howes)</p> | <p>For information.</p> |
| <p><b>10</b></p> | <p>Annual Report Corporate Safeguarding 2020-21. (For Information) (Simon Jones)</p>   | <p>For information.</p> |
| <p><b>11</b></p> | <p>Update on Swansea Achieving Better Together. (For Information) (Adam Hill)</p>  | <p>For information.</p> |
| <p><b>12</b></p> | <p>Impact of the Corporate Insolvency and Governance Act 2020. (Verbal) (Ben Smith)</p>  | <p>Deferred.</p>        |
| <p><b>13</b></p> | <p>Governance &amp; Audit Committee Action Tracker Report. (For Information) (Jeremy Parkhouse)</p>                                | <p>For information.</p> |
| <p><b>14</b></p> | <p>Governance &amp; Audit Committee Work Plan. (For Information) (Jeremy Parkhouse)</p>  | <p>For information.</p> |